



## BENEFICIAL OWNER IDENTIFICATION SHEET

*Private & Confidential*

### PERSONAL DETAILS

Name:			
Residential Address:			
Country of Tax Residence:			
Nationality/ies (Please state all):			
Date of birth:		Place of birth:	
Marital status:			
Phone number:		Fax number:	
Email:			

**Please enclose certified passport copy and utility bill/address proof.**

### PROFESSION

- Employed       Retired       Self-employed/business owner/employer  
 Other (Please specify below)

Description of professional activity, i.e. position held, related activities etc.:

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Description of your educational background and employment history, i.e. details of academic and professional qualifications and summary of business history:

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### INCOME

My average annual gross income (result of professional activity) is:

- Less than USD 100,000     USD 100,000 to 1,000,000     More than USD 1,000,000

### WEALTH

- Less than USD 1,000,000     Between USD 1,000,000 to 5,000,000  
 Greater than USD 5,000,000

### SOURCE OF WEALTH/ ORIGIN OF THE ASSETS AND INCOMING FUNDS

- Occupational income / savings     Inheritance / gifts     Sale of a shareholding  
 Sale of property     Pension / insurance     Other

(i) Details on the source of funds/wealth of the Beneficial Owner (e.g. name of person(s), company, sector, specific transactions ect.) and (ii) origin of the assets and incoming funds of the company to be incorporated:

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# LEO TRUST

## **BANK RELATIONS**

Banks that you have or had a relation with (Name & Place):

## **DECLARATION ON THE ORIGIN OF FUNDS**

I confirm and undertake that there were no (and still are no) pending or threatened claims of any nature against me which relate to or which might affect the Funds.

## **OFFENCES**

Herewith, I confirm that I am not and I have never been convicted in any criminal offence of whatever nature.

## **DECLARATION ON TAX DUTIES AND INDEMNITY**

I understand and am fully aware of the tax implications that might result from the setting up of a company/structure or other vehicle (the "Entity") and I confirm to obtain or having obtained legal/tax advice where I consider appropriate.

I acknowledge and confirm that it is my responsibility to understand and comply with any tax obligations and requirements that may apply to me or to the Entity under the laws and regulations of my country of residence or of the Entity's country of incorporation/place of business, or any other relevant jurisdiction. I further declare that I will keep Leo Trust Switzerland AG or any affiliate free from any liability in this regard and that I shall bear any damage resulting therefrom.

## **CONFIRMATION**

I hereby undertake to provide certified copies of my passport(s) and address proof to Leo Trust Switzerland AG.

I further agree to inform Leo Trust Switzerland AG immediately of any relevant change of above stated details, and to provide Leo Trust Switzerland AG with such additional information and documents as may be required for the purposes of Leo Trust Switzerland AG's or the registered agent's due diligence compliance requirements pursuant to applicable law and regulations. I hereby authorize Leo Trust Switzerland AG to disclose any of the above information and document to the relevant Group Compliance for due diligence purposes, and to the registered agent/registered office of the company / foundation / trust as and when required by applicable law and regulations and in this regard herewith explicitly waive protection under any applicable secrecy rules or data protection laws as the case may be.

Leo Trust Switzerland AG undertakes to keep this document under confidential custody and will not provide any information contained herein to a third party unless required to disclose such information and documents in a judicial or administrative proceeding or as otherwise requested by applicable law or regulations as outlined above.



# LEO TRUST

## “PEP” Status<sup>1</sup>

Political Exposed Person (PEP) - means any of the following:

a) A natural person who is or has been entrusted with prominent public functions, including

- a head of state, head of government, minister or deputy or assistant minister;
- a senior government official;
- a member of parliament;
- a senior politician;
- an important political party official;
- a senior judicial official;
- a member of a court of auditors or the board of a central bank;
- an ambassador, chargé d'affaires or other high-ranking officer in a diplomatic service;
- a high-ranking officer in an armed force;
- a senior member of an administrative, management or supervisory body of a State-owned enterprise;
- a senior official of an international entity or organisation; and
- an honorary consul;

b) Any of the following family members of a person mentioned in subparagraph (a):

- a spouse;
- a partner considered by national law as equivalent to a spouse;
- a child or the spouse or partner of a child;
- a brother or sister (including a half-brother or half-sister);
- a parent;
- a parent-in-law;
- a grandparent; and
- a grandchild;

c) Any close associate of a person mentioned in subparagraph (a), including:

- any natural person known to have joint beneficial ownership of a legal entity or legal arrangement or any other close
- any natural person who has sole beneficial ownership of a legal entity or legal arrangement known to have been set up
- any natural person known to be beneficiary of a legal arrangement of which such a person is a beneficial owner or beneficiary;
- any natural person known to be in a position to conduct substantial financial transactions on behalf of such a person;
- business relations, with such a person;
- for the benefit of such a person;

## “PEP” STATUS<sup>1</sup>

Hereby I declare that I am not PEP (none of the above)

Hereby I declare that I am PEP ➤ Please specify:

The Registered Agent may require additional information.

Signature:

Date:

Name:

In PRINT LETTERS



# LEO TRUST

## US Person<sup>2</sup>

The term 'US person' means:

- A citizen of the United States of America or foreign resident in said country (Including individuals born in Puerto Rico or Guam or the US Virgin Islands; Individuals with parents born in the United States of America with double nationality where one such nationalities is the United States of America even if residing outside the United States of America and has no intention of residing in the United States of America).
- A person with legal residence in the United States of America (green card holder including, non-citizens of the United States of America in possession of a green card even if not living in the United States of America).
- Individuals born in the United States of America who have not renounced their citizenship.
- Any person who has voluntarily decided to be treated as a US tax resident.
- Individuals satisfying the test of substantial residence:
  - Physical presence in the United States of America for 30 consecutive days in one calendar year, and
  - Physical presence in the United States of America for 183 days in a term of 3 years including the current year and 2 calendar years immediately before, including:
    - All days of physical presence in the current year;
    - 1/3 of the days of physical presence in the first year prior to the current year, and
    - 1/6 of the days of physical presence in the second year prior to the current year.

## US PERSON<sup>2</sup>

- Hereby I declare that I am not a US Person.
- Hereby I declare that I am a US Person (additional info required)

Signature:

Name:

In PRINT LETTERS

Date: